

# Anderson transition in the spectrum of the Dirac operator

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November 25, 2015



# Collaboration

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# Continuum QCD

- Field theoretic description of the strong interaction
- A gluon field,  $\psi$  quark field
- Lagrangian:

$$L = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \bar{\psi} (\not{D} + m) \psi$$

- QCD observable:

$$\langle O \rangle = \int dA d\bar{\psi} d\psi O \exp \left( i \int L d^4x \right)$$

- On Hadronic energy scales QCD is strongly interacting
- Non perturbative regulator: lattice

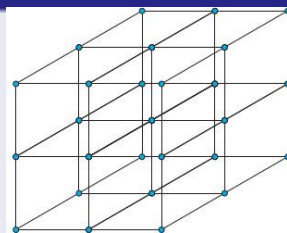


# Lattice QCD

- 4d hypercubic lattice
- Quark fields on lattice sites
- Gluons on links
- Euclidean time ( $t_E = -it$ )

## Lattice discretization

- $\psi(x_i)$  3d complex vector
- $U_\mu(x_i)$  SU(3) group element



# Lattice QCD

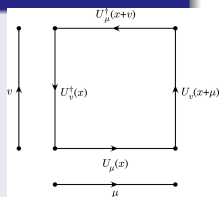
- Observable in LQCD:

$$\langle O \rangle = \frac{1}{Z} \int d\psi(x) d\bar{\psi}(x) dU_\mu(x) O(\psi, \bar{\psi}, U) \exp(-S(\psi, \bar{\psi}, U))$$

## Interactions

- Among gluons:

$$U_\mu(x) U_\nu(x+\mu) U_\mu^\dagger(x+\nu) U_\nu^\dagger(x)$$



- Between quarks:

$$D(x, y) = \sum_{\mu} \eta_{\mu, x} (U_{\mu}(x) \delta_{y, x+\mu} - U_{\mu}^{\dagger}(x-\nu) \delta_{y, x-\mu})$$

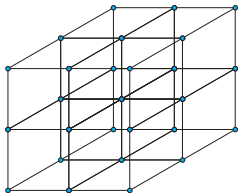
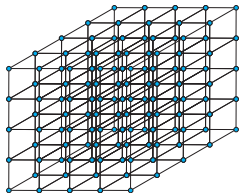
- Question: Why can I write “almost everything” in the Lagrangian?



# Taking the continuum limit: Wilson RG

- Let's have a very complicated lattice action:  $S = \sum_i c_i S_i(U)$
- Let's do a blocking transformation  $U_\mu(x) \rightarrow W_\mu(2x)(U)$

$$\begin{aligned}
 Z &= \int dU \exp(-S(U)) = \int dU dV \prod_x \delta(V(U_x) - W_\mu(U_x)) \exp(-S(U)) \\
 &= \int dV \exp(-S_{new}(V))
 \end{aligned}$$

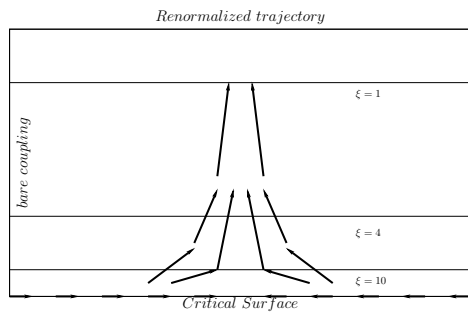


- Long distance physics unchanged
- $a_{new} = 2a$
- $\xi_{new} = \frac{\xi}{2}$



# Taking the continuum limit

## Fixed points and renormalized trajectory



- Simple example: just two couplings
- $SU(3)$  pure gauge:  $\beta$
- Irrelevant flows to the fix point
- Relevant has to be tuned
- Continuum limit: decreasing bare coupling, maintaining the long distance physics
- Fermions present: Give up one physical quantity for every relevant parameter everything else is a prediction



# Lattice Dirac operator

- Quarks can be integrated out explicitly in the partition function:

$$Z = \prod_{x,\mu} dU_\mu(x) \det(D(U) + m) \exp(-S_g(U))$$

## $D(U)$ : Massless lattice Dirac operator

- Discretization of the continuum  $\gamma_\mu (\partial_\mu + A_\mu)$
- Observables can be built up from eigenmodes of  $D$
- $D$  can be treated formally as a random “Hamiltonian”:  
 $P(U) \propto \exp(-S_g(U)) \det(D(U) + m)$



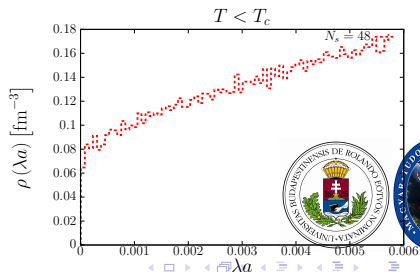
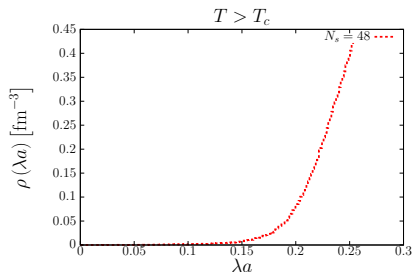


# QCD Chiral transition

- Order parameter of chiral symmetry: Banks-Casher  
(T. Banks and A. Casher (1980))

$$\langle \bar{\psi}\psi \rangle = \lim_{m \rightarrow 0} \lim_{V \rightarrow \infty} \frac{\pi \rho(0)}{V}, \rho(\lambda) = \langle \sum_i \delta(\lambda - \lambda_i) \rangle$$

- Low eigenvalues connected the chiral symmetry breaking
- $\rho(\lambda = 0) \neq 0$  means non zero condensate



# Dirac spectrum

## Below $T_c$ : Random Matrix Theory (*RMT*)

- Eigenmodes follow Random Matrix statistics
- Random Matrix model can be constructed where the elements of  $D$  are independently identically distributed random variables.
- Analytic and numerical connection to QCD in the so-called  $\varepsilon$ -regime [Shuryak, Verbaarschot \(1992\)](#):  $\frac{1}{\Lambda_{QCD}} \ll L \ll \frac{1}{m_\pi}$

## Above $T_c$

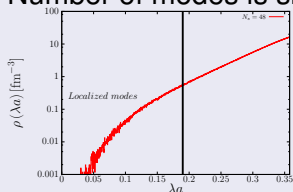
- The spectral statistics will depend on the position in the spectrum
- Above  $T_c$  the low modes become localized, still correlations in the bulk show *RMT* behaviour [García-García and Osborn\(2006\)](#); [T. G. Kovács\(2010\)](#); [R. Pullirsch, K. Rabitsch, T. Wettig and H. Markum\(1998\)](#)



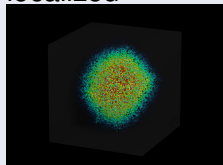
# Low end of the spectrum

## Mixing is small

- Number of modes is small

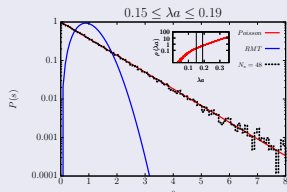
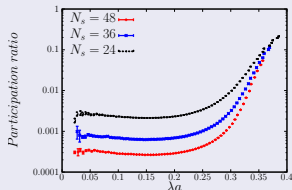


- Modes are spatially localized



## Poisson statistics, localized modes

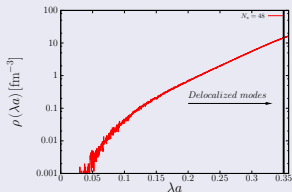
- Unfolded level spacing distribution:  $s = \frac{\lambda_{n+1} - \lambda_n}{\langle \lambda_{n+1} - \lambda_n \rangle}$



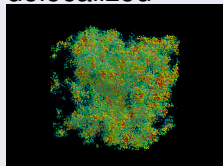
# Bulk of the spectrum

## Mixing is large

- Number of modes is large

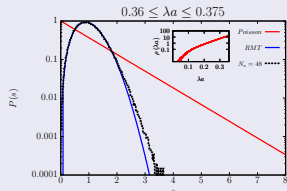
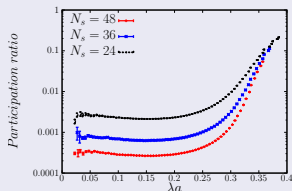


- Modes are spatially delocalized



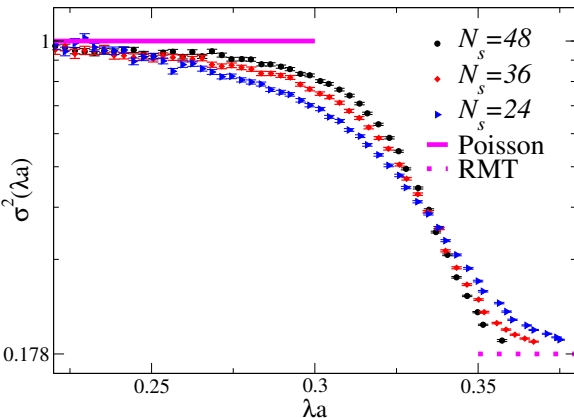
## Random-Matrix statistics, delocalized modes

- Unfolded level spacing distribution:  $s = \frac{\lambda_{n+1} - \lambda_n}{\langle \lambda_{n+1} - \lambda_n \rangle}$



# What happens in between these two parts?

Variance of the ULSD in the spectrum for  $n_t = 4$ ,  $a = 0.125\text{fm}$



- We can define a mobility edge( $\lambda_c$ ) for all  $V$

- $\lambda_c \equiv$  Location of the inflection point of the curves

- ? What happens in the continuum limit?

- ? Other models showing the same thing?

- ? Is it a real phase transition?

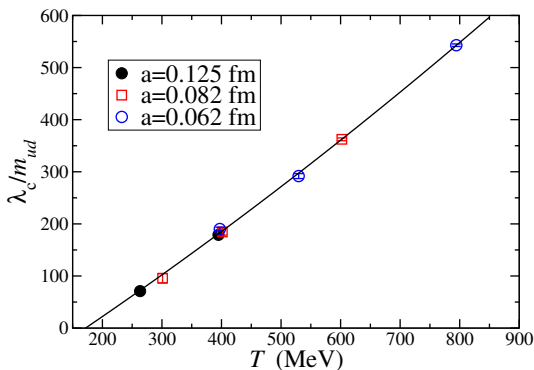


# Mobility edge in the continuum limit

T.G. Kovács and FP Phys. Rev. D (2012)

How  $\lambda_c$  behaves in the continuum?

- $\lambda_c$  introduces an effective gap in the spectrum
- Its renormalization is similar to the quark mass
- $\lambda_c/m_{ud}$  tends to finite value in the continuum limit
- Localization is physical



- $\lambda_c(T_c) = 0 \rightarrow T_c \simeq 170 \text{ MeV}$

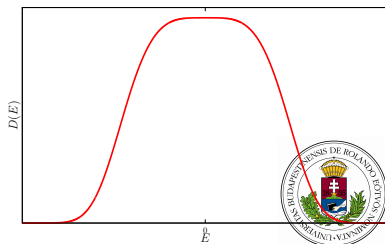


# Anderson tight binding model

Non interacting electrons with one electron Hamiltonian

$$H = \sum_i \varepsilon_i |i\rangle \langle i| + \sum_{(i,j)} |i\rangle \langle j|$$

- $|i\rangle$  atomic orbital with energy  $\varepsilon_i$
- Second sum represents the hopping of the electron to the neighboring site
- Eigenstates are delocalized Bloch waves
- Impurity:  $\varepsilon_i \rightarrow$  random
- localized modes appear at the spectrum edge
- $\sigma(\varepsilon_i)$  grows  $\rightarrow$  mobility edge moves towards the band

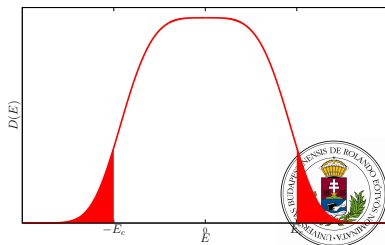


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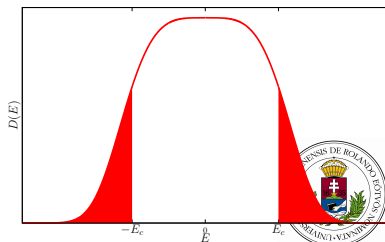


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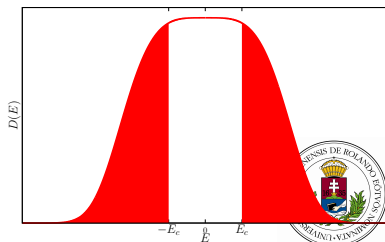


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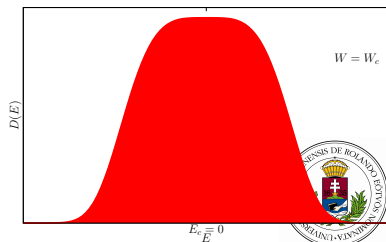


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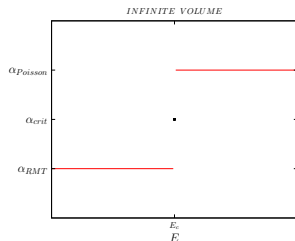


# Anderson transition

Finite size scaling analysis B. Kramer, A. MacKinnon, T. Ohtsuki and K. Slevin (2010)

- In 3d it is a real second order phase transition
- Consider a dimensionless physical quantity  $\alpha(E, s, \dots)$
- In the thermodynamic limit:

$$\alpha(E, s, \dots) = \begin{cases} \alpha_{RMT} & \text{for } E \leq E_c \\ \alpha_{CRIT} & \text{for } E = E_c \\ \alpha_{Poisson} & \text{for } E \geq E_c \end{cases}$$



- Finite volume: One parameter scaling hypothesis
- $\alpha$  depends on:
  - 1 L: system size
  - 2 E: relevant variable
  - 3 s: leading irrelevant variable

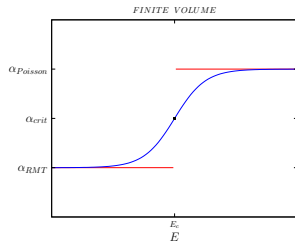


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# Anderson transition

Finite size scaling analysis, Renormalization Group argument

- $\alpha(E, s, L^{-1})$  is a dimensionless physical quantity, it should be invariant under renormalization group transformation with scale factor  $\ell$ :

$$RG(l) : \begin{cases} E - E_c & \rightarrow \ell^{\frac{1}{\nu}} \cdot (E - E_c), \frac{1}{\nu} > 0 \\ s - s_{fp} & \rightarrow \ell^y \cdot (s - s_{fp}), y < 0 \\ L^{-1} & \rightarrow \ell \cdot L^{-1} \end{cases}$$

$$\alpha(E - E_c, s - s_{fp}, L^{-1}) = \alpha\left(\ell^{\frac{1}{\nu}}(E - E_c), \ell^y(s - s_{fp}), \ell L^{-1}\right)$$

- Fix the RG scale such that  $\ell \cdot L^{-1} = c$

$$\alpha(E - E_c, s - s_{fp}, L^{-1}) = \alpha\left((cL)^{\frac{1}{\nu}}(E - E_c), (cL)^y(s - s_{fp}), 1\right)$$

$$\alpha(E - E_c, s - s_{fp}, L^{-1}) = f_c\left(L^{\frac{1}{\nu}}(E - E_c), L^y(s - s_{fp})\right)$$



# Anderson transition in the Dirac spectrum

## Extraction of the critical exponents

### Transition in the Dirac spectrum

- Near the critical point a dimensionless local physical quantity  $Q$  does not depend separately on  $\lambda$  and  $L$  (lattice extension):  $Q(\lambda, L) = f\left(\frac{L}{\xi_\infty(\lambda)}\right)$
- Using the definition of the correlation length ( $\xi_\infty(\lambda) \propto (\lambda - \lambda_c)^{-\nu}$ ) we can expand  $Q$  around  $\lambda_c$  for  $\lambda$  close to  $\lambda_c$ :

$$\begin{aligned}
 Q(\lambda, L) &= f\left(\frac{L}{\xi_\infty(\lambda)}\right) = F\left(L^{\frac{1}{\nu}}(\lambda - \lambda_c)\right) = \\
 &= \sum_{n=0}^{\infty} \frac{F^{(n)}(0)}{n!} L^{\frac{n}{\nu}} (\lambda - \lambda_c)^n
 \end{aligned}$$

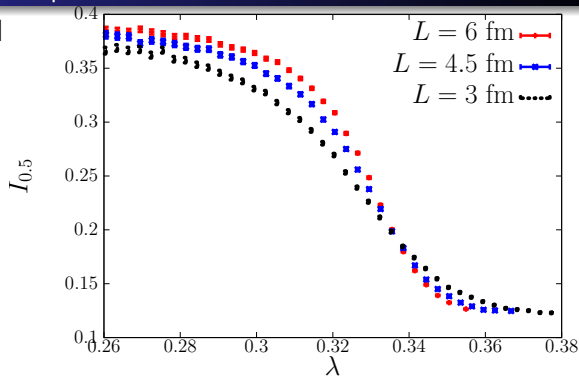
- determining  $\lambda_c, \nu$  using the coefficients of a polynomial fit



# Anderson transition in the Dirac spectrum

## Critical exponents and Data collapse

- Measuring  $\lambda_c$  and  $\nu$  using several volumes in two variables fit
- Estimate the systematic error through constrained fits including more and more terms in the expansion
- We use the quantity
 
$$I_s(\lambda) = \int_0^s \rho(s') ds'$$



- Critical exponents consistent with the 3d unitary Anderson model:

$$\nu = 1.435(59), \lambda_c = 0.33604(37)$$

M. Giordano, T.G. Kovács and FP (PRL 2014)

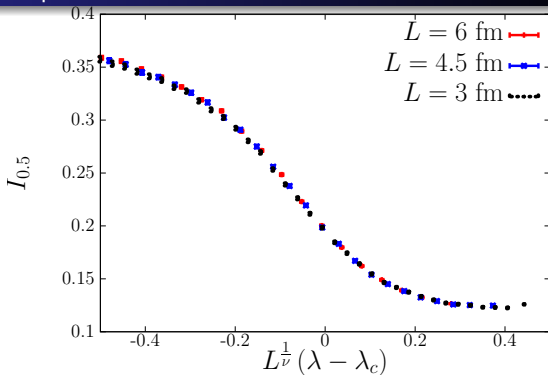




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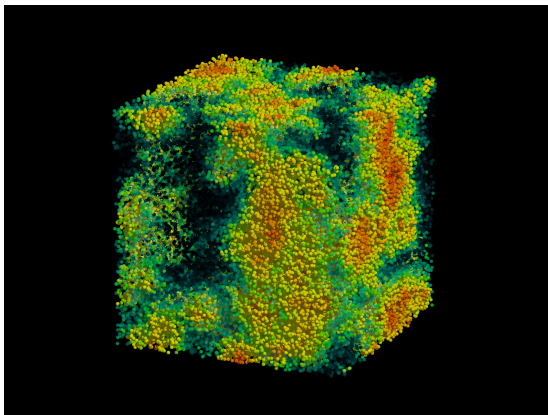
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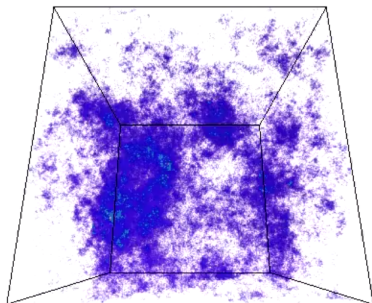


# How the eigenvectors look like around the mobility edge?



# Inside a multifractal at the Anderson transition

Schenk, Bollhöfer, Römer, *SIAM Reviews* 50, 91-112 (2008)



# Multifractals: Characterized by infinite number of fractal dimensions

- Let  $|\psi(x)|^2$  give the probability of finding a particle in an infinitesimal region around  $x$ .
- Finding the particle within a  $d$  dimensional sphere of radius  $r$  ( $r$  small) scales as:

$$r^d$$

- For fractal wavefunctions this scales as

$$r^\alpha$$

where  $\alpha < d$  is the fractal dimension.

- For strongly fluctuating wavefunctions we can define local fractal dimensions

$$r^{\alpha(x)}$$



# Multifractal exponents

- Divide our 4d lattice into smaller boxes of linear size  $\ell$ .
- Probability for boxes:  $\mu_k = \sum_{x \in \text{box}_k} |\psi(\vec{x})|^2$
- From the moments of box probabilities one can define generalized inverse participation ratios:

$$R_q = \sum_{k=1}^{\Lambda^{-d}} \mu_k^q, \quad \Lambda = \frac{\ell}{L}$$

- Obtain multifractal exponents check how  $R_q$  scales with  $\Lambda$  (small):

$$R_q \propto \Lambda^{-D_q(q-1)}$$



# Multifractal exponents

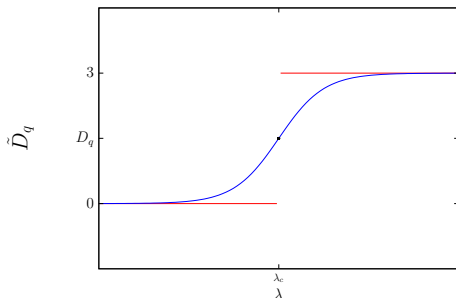
- For  $q = 2$  we obtain the “effective” volume occupied by the eigenmode
- For a localized mode  $\propto \Lambda^0 \rightarrow D_{q=2} = 0$
- For a delocalized mode  $\propto \Lambda^3 \rightarrow D_{q=2} = 3$
- $D_q$  does not depend on  $q$  for (de)localized mode
- At  $\lambda_c$  modes are expected to be a multifractal:  $D_q$  will depend on  $q$
- One can define the ensemble average of  $D_q$  in a specific spectral window:

$$\tilde{D}_q(\lambda, L, \ell) = \frac{1}{q-1} \frac{\ln \langle R_q \rangle}{\ln \Lambda}$$



# Finite size scaling for multifractal exponents

- In the spectrum around  $\lambda_c$   $D_q$  will jump in the thermodynamical limit

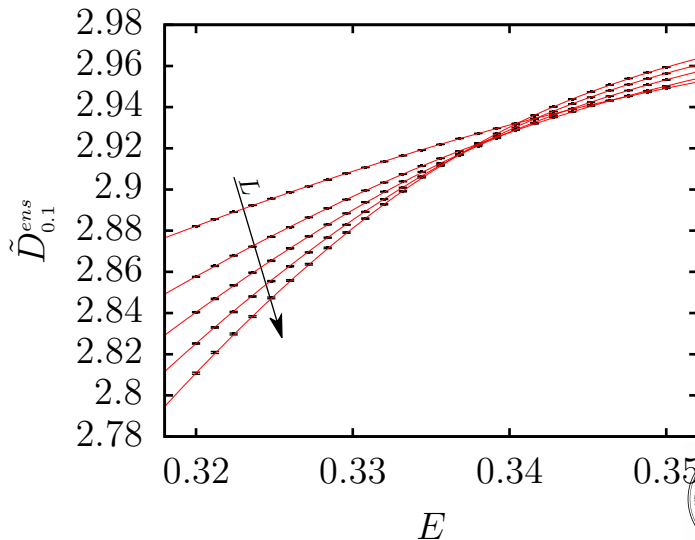


- Finite size scaling formula

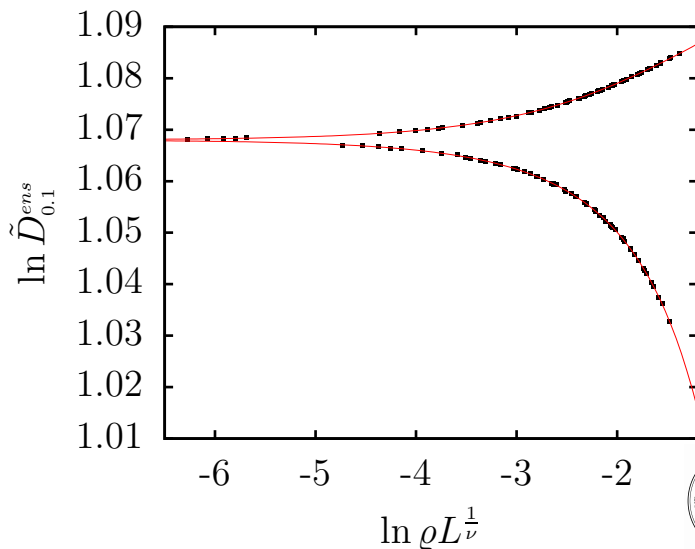
$$\tilde{D}_q(\lambda, L, \ell) = D_q + \frac{1}{\ln \Lambda} f\left(\frac{L}{\xi}, \frac{\ell}{\xi}\right)$$



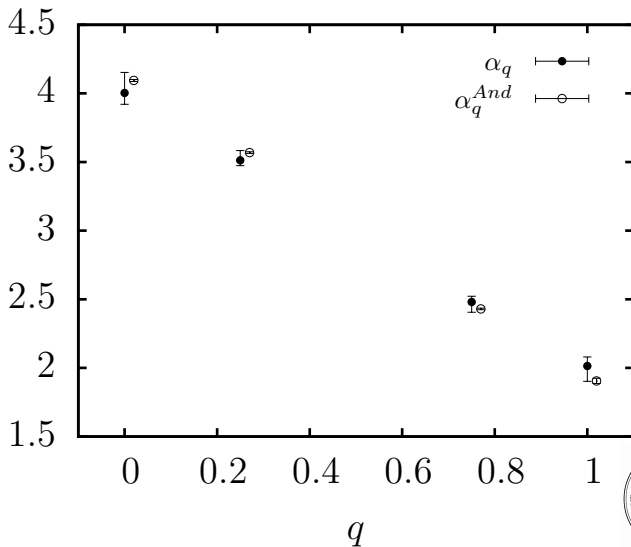
# Finite size scaling at fixed $\Lambda = \frac{1}{8}$





Finite size scaling at fixed  $\Lambda = \frac{1}{8}$ 

# Multifractal exponents for the QCD Dirac spectrum



# Conclusions

- There are two different models: QCD and Anderson tight binding
- Differences
  - Scales of the problem
  - Non-zero elements
  - Correlations among the elements
- Yet they belong to the same universality class, share the same multifractal exponents
- For more details see:  
L. Ujfalusi, M. Giordano, FP, T. G. Kovács and I. Varga, Phys. Rev. D **92**, no. 9, 094513 (2015)
- Thank you for attention!

