The Julia Set of Perturbed Quadratic Maps $F_{\lambda}(z) = z^2 + c + \lambda/z^2$ Converging to the Filled Quadratic Julia Sets

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Outline

1. Preliminaries

Julia set, Mandelbrot set, critical point, trap door

2. Previous Results

Behavior of Julia sets under specific conditions

3. Part I: A Basic Case

Julia sets converge to the filled basilica for c = -1

4. Part II: General Case

Theorem: Julia sets converge to the filled Julia set when c lies at the center of a hyperbolic component of the Mandelbrot set

5. Concluding Remarks

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Even the simplest nonlinear functions exhibit intricate dynamical behavior:

$$F(z) = z^2 + c$$



- Understand what these objects are.
- Discuss some of their properties.

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Discrete dynamical system

$$F(z)=z^2+c$$

Fix parameter *c* and iterate:

1.
$$F(z) = z^{2} + c$$

2. $F^{2}(z) = F(F(z)) = (z^{2} + c)^{2} + c$
3. $F^{3}(z) = F(F(F(z))) = ((z^{2} + c)^{2} + c)^{2} + c$
4. and so on ...
5. $F^{n}(z) = \underbrace{F(F(...,F(z)..))}_{n}$

The sequence of numbers generated is called the orbit of z.

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What happens to the orbit as $n \to \infty$?

$$\mathcal{O} = \{z, F(z), F^2(z), F^3(z), \dots\}$$

- Periodic
- Eventually periodic
- Attracted to periodic n-cycle
- Diverge to infinity
- CHAOTIC

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What is CHAOS?

Definition (Devaney)

A dynamical system (X, dist, F) is chaotic if:

(1) Periodic points are dense

(2) $F: X \to X$ is topologically transitive

(3) Sensitive dependence on initial conditions

Remark: (1) and (2) \Rightarrow (3)

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What is CHAOS?

(1) Definition (Dense set)

A subset S of set X is **dense** if $\overline{S} = X$.

(2) Definition (Topologically Transitive)

 $F: X \to X$ is topologically transitive if for any pair of open sets $U, V \subset X$ there exists k > 0 such that $F^k(U) \cap V \neq \emptyset$.

(3) Definition (Sensitive Dependence)

 $F: X \to X$ has sensitive dependence on initial conditions if there exists $\delta > 0$ such that, for any $x \in X$ and any neighborhood N of x, there exists $y \in N$ and $n \ge 0$ such that $|F^n(x) - F^n(y)| > \delta$.

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Key Example:

Define the sequence space $\sum_{i=1}^{\infty} := \{s_1 s_2 s_3 s_4 \dots | s_j = 0 \text{ or } 1\}$ with metric $d(\mathbf{s}, \mathbf{t}) := \sum_{i=1}^{\infty} \frac{|s_i - t_i|}{2^i}$. Define the shift map to be $\sigma : \sum \to \sum$ such that

$$\sigma(s_1s_2s_3s_4\dots)\mapsto\sigma(s_2s_3s_4\dots).$$

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$$\sigma(s_1s_2s_3s_4\dots)\mapsto\sigma(s_2s_3s_4\dots).$$

Claim: The shift map $\sigma: \sum \rightarrow \sum$ is a chaotic system.

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Key Example:

Define the sequence space $\sum_{i=1}^{\infty} := \{s_1 s_2 s_3 s_4 \dots | s_j = 0 \text{ or } 1\}$ with metric $d(\mathbf{s}, \mathbf{t}) := \sum_{i=1}^{\infty} \frac{|s_i - t_i|}{2^i}$. Define the shift map to be $\sigma : \sum \to \sum$ such that

$$\sigma(s_1s_2s_3s_4\dots)\mapsto\sigma(s_2s_3s_4\dots).$$

Claim: The shift map $\sigma: \sum \to \sum$ is a chaotic system.

Proof.

Take binary representation of numbers in [0, 1] after the decimal:

- (1) Rationals are dense.
- (2) Concatenate all rationals with finite binary representation.

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Iterates and Orbits of Quadratic maps $z^2 + c$

Graphical Analysis



Critical point

$$\frac{d}{dz}F(z)=0$$

Critical orbit of $z^2 + c$ 1. $F(0) = 0^2 + c$ 2. $F(F(0)) = F(c) = c^2 + c$ 3. $F(F(F(0))) = F(c^2 + c) = (c^2 + c)^2 + c$

4. Do it again ...

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Background: 1910-1920's



Gaston Julia 1893-1978



Pierre Fatou 1878-1929

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Definition

The **filled Julia set** is the set consisting of all points whose orbits stay bounded. The **Julia set** is the boundary of the filled Julia set and is denoted $J(F_{\lambda})$.

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The Julia set is the chaotic domain

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The Julia set is the chaotic domain

Definition The **Fatou set** is the complement of the Julia set.

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Complex quadratic function $\mathbf{F}(z) = z^2 + c$ Iterates $F^2(z) = F(F(z)), \dots, F^n(z) = F(F^{n-1}(z)), \dots$ <u>Black:</u> $F^n(z)$ remains bounded. <u>Colored:</u> $F^n(z) \to \infty$ as $n \to \infty$. Unit disk Basilica Douady Rabbit



The Julia set is the boundary of the black region. 🛷 💶 💷

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Theorem (Fundamental Dichotomy)

The Julia set is either connected or completely disconnected (Cantor set).



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Mandelbrot Set

The **Mandelbrot set** \mathcal{M} consists of all c values for which the filled Julia set of $J(z^2 + c)$ is connected. Equivalently,

$$\mathcal{M} = \{ c \in \mathbb{C} : |F^n(0)| \not\rightarrow \infty \text{ as } n \rightarrow \infty \}.$$



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Classification of Periodic Orbits

Definition

The multiplier of an orbit with period n is given by

$$\Lambda = \frac{d}{dz} F^n(z_i) = F'(z_1)F'(z_2)F'(z_3)\ldots F'(z_n)$$

The orbit is:

(1) $|\Lambda| < 1$ attracting (2) $|\Lambda| > 1$ repelling (3) $|\Lambda| = 1$ neutral

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Hyperbolic components of the Mandelbrot Set

Definition

A map is **hyperbolic** if the orbit of every critical point converges to an attracting periodic orbit.



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Julia sets of $z^2 + c$ The Basilica (c = -1), and the Douady Rabbit



Figure : The Julia set called the basilica (left), the Mandelbrot set (center), The Julia set from the period 3 bulb (hyperbolic component) is called the Douady rabbit (left).

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Understanding the Mandelbrot Set and Julia sets

Mandelbrot set explorer animations

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MLC Conjecture

Conjecture

The Mandelbrot set is Locally Connected (MLC).

Would imply we completely understood the dynamics of the simplest nonlinear function $z^2 + c$. Open of 30 years.



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Perturbed complex quadratic polynomial maps are functions of the form



Figure : Illustration of unperturbed and perturbed quadratic maps with c = -1 and $\lambda \in \mathbb{R}^+$.

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Main result of the talk

Theorem

Let c be the center of the hyperbolic component of period n > 1 in the Mandelbrot set and let

$$F_{\lambda} = z^2 + c + rac{\lambda}{z^2}.$$

As $\lambda \to 0$, the filled Julia sets of F_{λ} converge to the filled Julia set of the quadratic polynomial $F(z) = z^2 + c$ in the Hausdorff metric.

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Hausdorff metric

Definition

Let U and V be two non-empty subsets of a metric space (X, d). We define their Hausdorff distance $d_H(U, V)$ by

$$d_H(U, V) = \max\{\sup_{u \in U} \inf_{v \in V} d(u, v), \sup_{v \in V} \inf_{u \in U} d(u, v)\}$$



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Trap Door

Definition

The region about the origin which is mapped to B_{λ} under one iteration is called the **trap door** and is denoted T_{λ} .

All colored regions within ∂B_{λ} are preimages of the T_{λ} .



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Trap Door

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Perturbed Quadratic Map (PQM) $F_{\lambda}(z) = z^2 + c + \frac{\lambda}{z^2}$ <u>Previous result '08:</u> (Julia set of PQM with c = 0) $\xrightarrow{\lambda \to 0}$ unit disk



<u>Basic Case</u>: (Julia set of PQM with c = -1) \longrightarrow filled basilica



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<u>Basic Case</u>: (Julia set of PQM with c = -1) \longrightarrow filled basilica



 $\lambda = 10^{-2} \qquad \lambda = 10^{-3} \qquad \lambda = 10^{-4} \qquad \lambda = 10^{-6} \qquad \lambda = 0$

<u>Theorem</u>: Julia set of PQM with *c* in the center of any periodic bulb in the Mandelbrot set $\xrightarrow{\lambda \to 0}$ filled Julia set.

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On Convergence

Space-filling curve (Sierpinski curve)



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Significance

If a Julia set contains an open set, the the Julia set must be the entire Reimann Sphere.

We give Julia sets that come arbitrarily close to containing an open set.

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Previous Work at BU: Result due to Blanchard et al. The boundary of the immediate basin of attraction of ∞ , B_{λ} , of a perturbed rational map is homeomorphic to that of the unperturbed map.



Figure : The outer boundary of $J(F_{\lambda})$ is shaped like the basilica.

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On Critical Points

The critical orbit determines the structure of the Julia set.

For rational maps:

- (1) Critical points lie in $B_{\lambda} \Rightarrow$ Cantor set
- (2) Critical points lie in $T_{\lambda} \Rightarrow$ Cantor set of curves
- (3) All other cases \Rightarrow Connected

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Work by Mark Morabito et al.

Proves that for $G_{\lambda}(z) = z^n + \lambda/z$ and $n \ge 2$ the Julia set converges to the unit disk when $\lambda \to 0$ along n - 1 special rays.



Figure : The Julia set (left), the Multibrot set (right).

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Work by Antonio Garijo et al.

 $H_{\lambda}(z) = z^n + \lambda/z^d$ in the case of n, d > 2 there always exists an annulus of fixed size in the complement of the Julia set for all $\lambda \dots$



Figure reproduced from original paper.

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Work by Antonio Garijo et al.

... but $H_{\lambda}(z) = z^n + \lambda/z^d$ converges to the unit disk in the case of n = d = 2 as $\lambda \to 0$.



Figure reproduced from original paper.

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$F_{\lambda}(z) = z^{n} + c + \frac{\lambda}{z^{d}}$ n, d > 2Cubic Mouse



Figure 1: The Julia sets for $z^3 - i$ (left) and $z^3 + \lambda/z^3 - i$ when $\lambda = 0.0001$ (right). The critical point in the unperturbed map, $z^3 - i$, is in a superattracting 2-cycle. Note the explosion that occurs when λ becomes nonzero.

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Part I: Julia Sets converge to the filled basilica for $F_{\lambda} = z^2 + c + \frac{\lambda}{z^2}$ and $\lambda \to 0+$

Julia set for c = -1





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Part I: Julia Sets converge to the filled basilica for $F_{\lambda} = z^2 + c + \frac{\lambda}{z^2}$ and $\lambda \to 0+$

Steps of Proof:

- 1. None of the critical points escape for small $\lambda \in \mathbb{R}^+$
- 2. Existence of invariant interval in the Julia set
- 3. Symbolic Dynamics
- 4. Construction of a Cantor Necklace
- 5. Finish by showing that iterates of a disk of radius ϵ in the central bulb will intersect with the Cantor necklace in $J(F_{\lambda}(z))$.

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The Critical Points

- The critical points determine the structure the Julia set.
- To find the critical point we need to solve the following equation for z₀ in terms of λ:

$$|F'_{\lambda}(z_0)| = (z^2 - 1 + \frac{\lambda}{z^2})' = 2z - \frac{2\lambda}{z^3} = 0$$
 (1)

$$z_0 = \lambda^{1/4} \tag{2}$$

▶ In C there are four distinct critical points.

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Critical Values

The four complex critical points $\lambda^{1/4}$ are mapped 2-to-1 onto the two critical values on the real axis by F_{λ} .

$$v_{\lambda} = -1 \pm 2\sqrt{\lambda}$$

Show: Neither critical value escapes eventually. The third iterate of the critical points are at -15/16, which is a constant distance from v_{λ} as $\lambda \rightarrow 0$.

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The Invariant Interval/1

Proposition

There is an invariant interval I in the filled Julia set under the map F_{λ}^2 which connects T_{λ} and the preimage of T_{λ} in D_1 .



Graphical analysis of the first and second iterate of $F_{\lambda}(z)$ $z \to z \to \infty$

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The Invariant Interval/2



Graphical analysis of the first and second iterate of $F_{\lambda}(z)$. We show there is a invariant interval under the second iterate. $z = -\infty c$

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The Invariant Interval/3



Schematic illustration of two regions in the basilica Julia set. Segments a given same color are mapped to the segments of the same color.



Behavior of the 1st Iterate of a Sector



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Behavior of the 2nd Iterate of a Sector/1



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Behavior of the 2nd Iterate of a Sector/2



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Behavior of the 2nd Iterate of a Sector/3



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 F_{λ}^2 maps the central bulb of the basilica onto itself The mapping of central bulb D_0 under F_{λ}^2 is 4-to-1.



The 4 preimages of each of the fundamental sectors of the central bulb. Its four preimages in $J(F_{\lambda})$ under F_{λ}^2 are shown. Segments of the same color are mapped to the segments of the same color.

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Green: preimages of B_{λ} ; Yellow and Brown sectors: trace the evolution of the preimages.

This is homeomorphic to the Cantor middle thirds necklace;



generalization of Devaney (2006).

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Final Step of the Proof

Theorem (Disk Wrapping around the origin)

Let $B_{\epsilon}(z_0)$ denote the disk of radius $\epsilon > 0$ centered at z_0 . There exists a $\mu > 0$ such that, for any $\lambda \in \mathbb{R}^+$ such that $0 < |\lambda| \le \mu$, $J(F_{\lambda}) \cap B_{\epsilon}(z_0) \neq \emptyset$ for all $z_0 \in D_0$.

- The 2k-th iterates of disk always stays in central bulb D_0 .
- ▶ $\exists n, F^{2n}(B_{\epsilon})$ wraps around origin, intersects Cantor necklace.
- Julia set is backwards invariant.
- ▶ This concludes the proof of the main result. □

Julia set of F_{λ} for $\lambda \rightarrow 0+$ converges to the filled basilica.



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Part II: General case $F_{\lambda} = z^2 + c + \frac{\lambda}{z^2}$

Theorem The Julia set of

$$F_{\lambda} = z^2 + c + rac{\lambda}{z^2}$$

converges to the filled Julia set if c lies in the center of a hyperbolic component of the Mandelbrot set.

 $\lambda = 10^{-2}$ $\lambda = 10^{-3}$ $\lambda = 10^{-4}$ $\lambda = 10^{-6}$ $\lambda = 0$

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Steps of proof

- 1. Critical orbit remains bounded
- 2. Invariant circles in the Julia set
- 3. Rule out the existence of multiply connected Fatou components. (Herman rings, annular preimages of disks, etc.)
- 4. Fatou set within ∂B_{λ} does not contain a disk of radius ϵ

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1. Behavior of the Critical Orbit

$$F_{\lambda}(z) = z^k + c + rac{\lambda}{z^d}$$

Known that for F_{λ} with k, d > 2, and c the center of a hyperbolic component with period n, after n + 1 iterations the critical points eventually escape to ∞ . This means that all components of the Julia set contain a Cantor set of Simple closed curves.

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Summary of Quadratic Cases

•Garijo: For main cardoid $z^2 + \frac{\lambda}{z^2}$ the 2nd iterate is 1/4

•Previously: For period 2 bulb $z^2 - 1 + \frac{\lambda}{z^2}$ the 3rd iterate is -15/16

•Prove similar behavior for $z^2 + c + \frac{\lambda}{z^2}$ any c in the center of a period n bulb. Hence components of the Fatou set are simply connected. Show n-th iterates of the critical pints don't lie in the trap door.

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Critical point is mapped "far away" from the critical value

Theorem

Let $F_{\lambda}(z) = z^2 + c + \frac{\lambda}{z^2}$, where c is the center of a period-n bulb of the Mandelbrot set. In this case the n-th iterate of the critical value behaves as follows:

$$\lim_{\lambda\to 0}F_{\lambda}^n(c\pm 2\sqrt{\lambda})=c+\kappa,$$

where
$$\kappa = \left(4^n \left(\prod_{i=1}^{n-1} F^i(0)\right)^2\right)^{-1} \neq 0$$
 is some constant.

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Recall: Critical points: $\lambda^{1/4}$ Critical values: $v_{\lambda} = c \pm 2\sqrt{\lambda}$

$$egin{aligned} F_\lambda(c\pm 2\sqrt{\lambda}) &= (c\pm 2\sqrt{\lambda})^2 + c + rac{\lambda}{(c\pm 2\sqrt{\lambda})^2} \ &= c^2 + c\pm 4c\sqrt{\lambda} + O(\lambda) \ &= c^2 + c\pm (2c)(2\sqrt{\lambda}) + O(\lambda) \ &pprox F(c) + F'(F(0))(\pm 2\sqrt{\lambda}) \end{aligned}$$

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$$F_{\lambda}^{2}(c \pm 2\sqrt{\lambda}) \approx F^{2}(c) + F'(F(0))F'(F^{2}(0))(\pm 2\sqrt{\lambda})$$

$$\vdots$$

$$F_{\lambda}^{n-1}(c \pm 2\sqrt{\lambda}) \approx F^{n-1}(c) + \prod_{i=1}^{n-1} F'(F^{i}(0))(\pm 2\sqrt{\lambda})$$

Know $F^{n-1}(c) = 0$ and $F'(z) = 2z$ hence

$$F_{\lambda}^{n-1}(c \pm 2\sqrt{\lambda}) \approx 2^{n-1}(\pm 2\sqrt{\lambda}) \prod_{i=1}^{n-1} F^{i}(0)$$

Critical orbit returns close to 0 after n-1 iterations.

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The behaviour as $\lambda \rightarrow 0$:

$$F_{\lambda}^{n}(\mathbf{v}_{\lambda}) \approx \left(2^{n-1} \left(\prod_{i=1}^{n-1} F^{i}(0)\right) (\pm 2\sqrt{\lambda})\right)^{2} + c + \frac{\chi}{\left(2^{n-1} \left(\prod_{i=1}^{n-1} F^{i}(0)\right) (\pm 2\sqrt{\lambda})\right)^{2}} \\ \approx c + \frac{1}{\underbrace{4^{n} \left(\prod_{i=1}^{n-1} F^{i}(0)\right)^{2}}_{\kappa}}$$

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The *n*-th iterate of the critical orbit is not in T_{λ}

Theorem

If $|\lambda|$ is small enough, then $F_{\lambda}^{n}(\pm v_{\lambda})$ both lie in D_{1}^{λ} . Proof:

- $F^n|D_0$ is analytically conjugate to $z\mapsto z^2$ on $\mathbb D$
- Let $h: D_0 \to \mathbb{D}$ be the conjugacy with h(0) = 0
- Then $h(F^n(z)) = (h(z))^2$
- Suppose $h(z) = a_1 z + a_2 z^2 + \dots$ so $h'(0) = a_1$

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Find *a*₁:

•
$$h(F^n(z)) = (h(z))^2$$

- Leading coefficient RHS: $a_1^2 z^2$
- Leading coefficient LHS: $\left(\prod_{i=1}^{n-1} F'(F^i(0))\right) a_1 z^2$

• Hence
$$h'(0) = a_1 = \prod_{i=1}^{n-1} F'(F^i(0))$$

The for the Reimann map $h^{-1}:\mathbb{D} o D_0$ we have

$$(h^{-1})'(0) = \left(\prod_{i=1}^{n-1} F'(F^i(0))\right)^{-1}$$

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Construct a similar Reimann map $\Phi : \mathbb{D} \to D_1$

►
$$F^{-1}: D_{j+1} \to D_j$$
 is an analytic homeomorphism.
► $\Phi = F^{-(n-1)} \circ h^{-1}: \mathbb{D} \to D_1$ maps 0 to c
Then

$$\Phi'(0) = (h^{-1})'(0) \cdot (F^{-1})'(0) \cdot (F^{-1})'(0)(F^{n-1})(0) \dots (F^{-1})'(0)(F^2)(0)$$

= $\left(\prod_{i=1}^{n-1} F'(F^i(0))\right)^{-2}$
= $\frac{1}{4^{n-1}} \cdot \frac{1}{\left(\prod_{i=1}^{n-1} (F^i(0))\right)^{-2}} = 4\kappa$

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Theorem (Koebe 1/4 Thoeorem)

Suppose that the map $\eta \mapsto \psi(\eta) = a_1\eta + a_2\eta^2 + \ldots$ carries the unit disk \mathbb{D} diffeomorphically onto an open set $U \subset \mathbb{C}$. Then the distance r between the image of the origin and ∂U satisfies $\frac{1}{4}|a_1| \leq r \leq |a_1|$.

- Φ'(0) = a₁ = 4κ so by the Koebe 1/4 Thm D₁ must contain a disk of radius ≥ κ.
- Inequality is strict (Remiann map is not the "classical Koebe map")
- ► D_1 contains a disc of radius strictly larger than κ at c $\Rightarrow c + \kappa$ is contained in D_1^{λ}

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This case is special

The degree of a map $z^d + c + \frac{\lambda}{z^m}$ is given by

$$deg = d + m.$$

In the case d = m = 2

$$4 = 2 + 2$$

So the Koebe 1/4 theorem applies. If deg > 4 then we have different behavior. Perhaps better proof.

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2. Invariant Circles in the Julia Set

Analogue of the Cantor Necklace for general c.

- Let C_0 be the critical circle.
- H_{λ} be one of the involutions $\pm \frac{\sqrt{\lambda}}{z}$

•
$$C_{-1} = H_{\lambda}(F_{\lambda}^{-n}(C_0))$$

Proposition

For $|\lambda|$ sufficiently small, there is a closed curve in the Julia set that is invariant under F_{λ}^{n} and that lies strictly between the curves C_{0} and C_{-1} .

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2. Invariant Curve in the Julia Set



Green: preimages of B_{λ} ; Yellow and Brown sectors: trace the evolution of the preimages.

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Classification of Fatou components

For every periodic component U of the Fatou set, exactly one of the following holds:

- ► *U* contains an attracting periodic point (attracting basin)
- ► *U* is parabolic
- U is a Siegel disc
 i.e. Conformally conjugate to irrational rotation of the disc
- U is a Herman ring
 i.e. conformally conjugate to irrational rotation of the annulus

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Theorem

If λ is small enough, then all Fatou components of F_{λ} are simply connected.

- If V is a simply connected Fatou domain of F_λ then all preimages of V are also simply connected.
- The Fatou set of F_{λ} never contains a Herman ring.
- There is no other type of periodic multiply connected Fatou component (basin of attracting or parabolic cycle).

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Figure : Central bulb D_0^{λ} for the basilica with $\lambda = 0.001$ and $\lambda = 0.0001$

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Conclude Part II: Main Theorem Proof

Theorem

Let c be the center of the hyperbolic component of period n > 1 in the Mandelbrot set and let

$$F_{\lambda} = z^2 + c + rac{\lambda}{z^2}$$

. As $\lambda \to 0$, the filled Julia sets of F_{λ} converge to the filled Julia set of the quadratic polynomial $F(z) = z^2 + c$ in the Hausdorff metric.

- Assume not
- The $k \cdot n$ -th iterates of disk $B_{\epsilon}(z_*)$ in Fatou set stays in D_0^{λ} .
- ▶ $\exists I, F^{I \cdot n}(B_{\epsilon})$ wraps around origin
- Ruled out multiply connected Fatou components
- ▶ Julia set is backwards invariant 🗆

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The Julia Set of Perturbed Quadratic Maps $F_{\lambda}(z) = z^2 + c + \lambda/z^2$ Converging to the Filled Quadratic Julia Sets

Movies!

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